



COPY OF PAPERS
ORIGINALLY FILED

2176

#3

UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

APPLICANT(S): Falko Tesch et al. OLD DOCKET NO.: P01,0453
NEW DOCKET NO.: 30014200-1012
SERIAL NO.: 09/929,742 GROUP ART UNIT: 2176
DATE FILED: August 13, 2001 EXAMINER: Unknown
INVENTION: "METHODS AND SYSTEMS FOR PROCESSING EMBEDDED
OBJECTS"

RECEIVED

JAN 09 2002

Technology Center 2100

Hon. Assistant Commissioner for Patents

Washington, DC 20231

SIR:

In accordance with the provisions of 37 C.F.R. § 1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 C.F.R. §1.98, be made during the course of examination of the above-referenced application for United States Letters Patent.

I. SUBMITTED UNITED STATES PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Patentee</u>	<u>Issue Date</u>
AA	5,835,919	Stern et al.	November 10, 1998

II. SUBMITTED FOREIGN PATENT REFERENCES

<u>Reference</u>	<u>Number</u>	<u>Country</u>	<u>Date of Publication</u>
------------------	---------------	----------------	----------------------------

III. OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

AL K. Pleas, "Ole's Missing Links," McGraw-Hill, Inc. St. Peterborough, Vol. 21,
No. 4, April 1, 1996, Pages 99-102.

VI. EXPLANATION OF RELEVANCE

References AA and AL were cited by the European Patent Office in a counterpart foreign application. Since references AA and AL are in English, no further commentary on their teachings is necessary.

Copies of the above reference together with Form 1449 are submitted herewith in accordance with 37 C.F.R. §1.98.

Submitted by,

Christopher P. Rauch

(Reg. 45,034)

Christopher P. Rauch

SONNENSCHN NATH & ROSENTHAL

8000 Sears Tower

Chicago, Illinois 60606

Telephone: 312/876-2606

Attorneys for Applicant(s)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Falko Tesch et al.

OLD DOCKET NO.: P01,0453

SERIAL NO.: 09/579,742

NEW DOCKET NO.: 30014200-1012

DATE FILED: August 13, 2001

GROUP ART UNIT: 2176

EXAMINER: Unknown

INVENTION: "METHODS AND SYSTEMS FOR PROCESSING EMBEDDED OBJECTS"

RECEIVED
JAN 09 2002
Technology Center 2100

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

HON. ASSISTANT COMMISSIONER OF PATENTS

Washington, D.C. 20231

Sir:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted:

- ☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)
- ☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 C.F.R. §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.
- ☐ Payment for the fee set forth in 37 C.F.R. §1.17(p) accompanies this submission; or
- ☐ The certification specified in 37 C.F.R. §1.97(e) is made below. (No fee is required.)
- ☐ After the mailing of (1) a final action under 37 C.F.R. §1.113; or (2) a notice of allowance under 37 C.F.R. §1.311 whichever occurs first, but **before** payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 C.F.R. §1.17(i)(1) accompanies this submission.
- ☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- ☐ A check in the amount of \$_____ to cover any required fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 19-3140. *A duplicate copy of this sheet is enclosed for this purpose.*

Very respectfully,

SONNENSCHN NATH & ROSENTHAL

By Christopher P. Rauch, Reg. 45,034

When phoning re this application,
please call (312) 876-8000

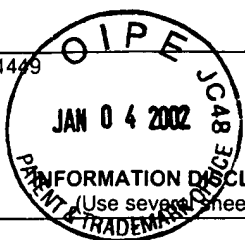
Christopher P. Rauch
Sonnenschein, Nath & Rosenthal
P.O. Box 061080
Wacker Drive Station, Sears Tower
Chicago, Illinois 60606
Telephone (312) 876-8000

CERTIFICATE OF MAILING

I hereby certify that this Information Disclosure Statement is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner of Patents at the United States Patent and Trademark Office in Washington D.C. on November 12, 2001

Christopher P. Rauch

Form PTO-1449
(Rev. 8-88)



U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No. 30014200-1012

Serial No. 09/929,742

Applicant: Falko Tesch et al.

Filing Date: August 13, 2001

Group: 2176

U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
AA	5,835,919	Nov 10, 1998	Stern et al.	707	515	May 17, 1996

RECEIVED
JAN 09 2002
Technology Center 2100

FOREIGN PATENT DOCUMENTS

Document Number	Class	Subclass	Translation	
			Yes	No

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner	Date Considered
----------	-----------------

*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.